

The GlobSci project data

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1 Overview

The GlobSci survey was designed to provide consistent cross-country data on active researchers. It surveyed 47,304 researchers in the four scientific disciplines of biology, chemistry, earth and environmental sciences, and materials science working or studying in 16 countries during February-June 2011.

Researchers were randomly selected on the basis of being a corresponding author of an article published in 2009 in a journal related to one of the four fields (referred to here as the “focal article”). The social sciences and humanities were not sampled. Thus by design, the survey studies research active scientists, excluding early career scientists who have yet to publish and scientists who have, in all likelihood, ceased being research active. The four fields were selected because of the high likelihood (95 percent or more) that published articles in these fields contained an email address for the corresponding author. Journal selection was stratified along four classes of Impact Factor (IF). As such, the sample reflects authors who contributed in each of the four ranks of journals. It does not necessarily reflect the relative distribution of the entire scientific population.

Countries included were Australia, Belgium, Brazil, Canada, Denmark, France, Germany, India, Italy, Japan, Netherlands, Spain, Sweden, Switzerland, the United Kingdom, and the United States. In the subsequent sections we refer to this set of countries as “core.” Collectively, the 16 core countries produce about 70 percent of all articles published in these fields. Country of origin was determined by asking the respondents to report their country of residence at age 18. This allows us to neutralize the incidence of those foreign-born who came to a country during childhood, and whose migration decision depends on family choices, rather than on their own. International mobility was defined as migrating away from one’s country of origin for purposes of study or work or for taking a postdoc or job position of at least 12 months.

Panelists were invited to participate by email. The invitation letter and survey was fielded in English. Both were available in six other languages, as well. The overall response rate is 40.6 percent. The response rate, conditional on completing the entire survey, is 35.6 percent. Response rate bias appears quite modest and is discussed in Supporting Information in Franzoni, Scellato and Stephan (2012). The sampling strategy and procedure is discussed in Franzoni, Scellato and Stephan (2012) as well. China was initially included in the survey. However, a low response rate of less than 5 percent for a test sample of Chinese addresses suggested that respondents were either not receiving the invitation or had problems responding to the invitation. We encountered somewhat similar problems in a later effort to survey scientists in South Korea. Based on these test samples we decided not to survey researchers residing in either China or South Korea.

2 Suggested citations and related publications

FRANZONI, C., SCELLATO, G., STEPHAN, P. (2012) "Foreign-born scientists: mobility patterns for 16 countries" *Nature Biotechnology*, 30 (12), pp. 1250-1253.

FRANZONI C., SCELLATO G., STEPHAN P. (2014) "The mover's advantage. The superior performance of migrant scientists", *Economics Letters*, 122 (1), pp. 89-93.

SCELLATO G., FRANZONI C., STEPHAN P. (2015) "Migrant scientists and international networks", *Research Policy*, 44(1), pp. 108-120.

STEPHAN P., SCELLATO G., FRANZONI C. (2015) "International Competition for PhDs and Postdoctoral Scholars: What Does (and Does Not) Matter", Edited by William R. Kerr, Josh Lerner and Scott Stern, Vol. 15. MIT Press.

FRANZONI, C., SCELLATO, G., STEPHAN, P. (2015) "International Mobility of Research Scientists: Lessons from GlobSci" in *Global Mobility of Research Scientists: The Economics of who goes where and why*, Edited by Aldo Geuna, Elsevier.

3 Rights of use

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4 Description of the annexed files

4.1 Databases

The database is provided as two CSV files:

- *export_part_A.csv*:
The field "*generalid*" is the primary key and represents the unique code identifying all the recipients of the survey. It includes information on questionnaire completion and response rate (section 0) and on the reference articles derived from external sources, thus not provided by the respondents (section 1);
- *export_part_B*:
The field "*responseid*" is the primary key (it can be linked to the corresponding field in the previous file). It includes all the answers provided by the respondents (sections from 2 to 6).

The field delimiter in the CSV files is "," (comma).

Annex 1 reports the questionnaire with all the available questions and answer options as a support to improve the understanding of the data structure and of the conditional branching.

4.1.1 Caveats

The field “*rnif*” reports the rank normalized Impact Factor (IF) of the journal where the reference article was published. We could not directly provide the IF because such piece of information combined with the provided answers may raise issues on the identification of the respondents. In order to exclude the possibility of recognizing the authors, we computed the rank normalized IF¹.

It is worth noting that in a few cases there may be some marginal inconsistencies due to the possibility that respondents went back and edited their previous answers when answering the questionnaire. This may have affected some of the skip-logic questions.

The questions in Section 5 about respondent’s coauthors are restricted to a maximum of five coauthors. Their names were suggested to each respondent according from the reference article. When considering papers with more than five coauthors, those suggested to the respondent were the first, last and a random selection of three names among the remaining coauthors.

4.2 Legend file

The variables included in the database are described in the XLSX file “*legend*” which includes two sheets: “*LEGEND*” and “*SUM_STATS*”.

The first sheet shows all the questions and available answers in the same order as they appeared in the questionnaire. The green rows distinguish the six sections of the questionnaire. The code in column E (labeled “*Answer code (Variable name)*”) corresponds to the field name available in the CSV files.

The other fields help to identify the questionnaire structure (which is also fully reported in a separate file described in the next paragraphs), the data type and the options available to the respondents.

Questions are described in column C and D: the former reports the numbering and the latter the question text. Similarly for answers: codes (column E), format (column F) and texts (column G); additionally, answer options are summarized in column H and separately listed in columns I to V.

Column B (“*Conditional branching*”) lists the prior answers required for a respondent to read that specific question (e.g. the question q4_3 was available only to those who answered “1” – that is “Yes” – to both the items “q37rbachelor” and “q37rphd”).

Column W provides an example of what can be found in the database in the corresponding variable fields.

The data sheet “*SUM_STATS*” reports summary statistics of all the variables (please note that for string-type data only the count of observations is available).

¹ As a reference: Pudovkin A.I., Garfield E. (2004) “Rank-Normalized Impact Factor: A Way To Compare Journal Performance Across Subject Categories”. Presented at the American Society for Information Science and Technology Annual Meeting. November 17, 2004. Accessed online in March 2015: <http://garfield.library.upenn.edu/papers/asistranknormalization2004.pdf> .

5 Survey administration and methodology

5.1 Panel construction

We surveyed active researchers in the four scientific disciplines of biology, chemistry, earth and environmental sciences, and materials science during the period February-June 2011. In order to construct the sample, we selected all journals classified by ISI as belonging to one of the four disciplinary fields and sorted them by Impact Factor for all subfields of the four disciplines. Impact Factor was taken from the latest available release of the Journal Citation Report of Thomson-Web of Science®. We then randomly picked a selection of four journals in each quartile of the Impact Factor distribution in each subfield of the four disciplines. In the aggregate, this selection corresponds to approximately 30% of all journals published in the four fields.

We downloaded full address information of all scientific articles published in the selected journals in 2009 and retrieved the email address of the corresponding author. In case of multiple corresponding authors, we picked the first name on the list. In the case of corresponding authors appearing repeatedly in the list, we randomly selected one record. The four fields were chosen in part because 95 percent or more of all articles in these disciplines contain an email address for the corresponding author. More specifically, in 2009 the estimated number of records that did not report email address for corresponding author was 0.9% in biology, 3.6% in chemistry, 2.9% in earth and environmental sciences and 4.5% in materials science.

We coded the records by country, based on the domain of the email address (e.g. “.au” for Australia; “.be” for Belgium, etc.). We identified U.S. authors by those having “.edu” in the address, thereby restricting the U.S. sample to academic researchers.

Surveyed countries are: Australia, Belgium, Brazil, Canada, Denmark, France, Germany, India, Italy, Japan, Netherlands, Spain, Sweden, Switzerland, United Kingdom, United States. China was initially included in the survey. However, a low response rate of less than 5 percent for a test sample of Chinese addresses suggested that respondents were either not receiving the invitation or had problems responding to the invitation. Somewhat similar problems were encountered in a later effort to survey scientists in South Korea. Based on these test samples we decided not to survey researchers residing in either China or South Korea.

The procedure produced a sample of unique article-corresponding author addresses of 47,304 scientists in 16 countries. The country panel sizes are highly variable. Table 1 provides summary statistics on the panel of invited respondents by country and scientific discipline.

Table 1 Sixteen country panels by scientific discipline

| Country | Biology | Chemistry | Earth | Materials Science | Total |
|--------------|---------------|---------------|--------------|-------------------|---------------|
| Australia | 470 | 386 | 490 | 225 | 1571 |
| Belgium | 253 | 214 | 131 | 108 | 706 |
| Brazil | 626 | 473 | 161 | 277 | 1,537 |
| Canada | 825 | 685 | 621 | 324 | 2,455 |
| Denmark | 189 | 170 | 99 | 55 | 513 |
| France | 1,026 | 1,380 | 671 | 762 | 3,839 |
| Germany | 1,303 | 1,533 | 763 | 781 | 4,380 |
| India | 282 | 587 | 160 | 351 | 1,380 |
| Italy | 771 | 1,097 | 514 | 397 | 2,779 |
| Japan | 1,485 | 1,996 | 562 | 1,207 | 5,250 |
| Netherlands | 382 | 275 | 223 | 156 | 1,036 |
| Spain | 620 | 939 | 369 | 375 | 2,303 |
| Sweden | 326 | 251 | 181 | 124 | 882 |
| Switzerland | 285 | 265 | 256 | 113 | 919 |
| UK | 1,312 | 1,051 | 748 | 584 | 3,695 |
| U.S. | 5,135 | 4,247 | 2,667 | 2,010 | 14,059 |
| Total | 15,290 | 15,549 | 8,616 | 7,849 | 47,304 |

We track two characteristics of the articles from which the email of the corresponding author was extracted: the number of coauthors of the article and the total citations received by the article as of February 2010. The latter are retrieved from the Thomson-Web of Science® database. We also track the Impact Factor of the Journal.

Table 2 Characteristics of the panels: total citations and number of co-authors

| Country | Total Citations* | Number of coauthors |
|-------------|------------------|---------------------|
| Australia | 0.95 | 4.61 |
| Belgium | 0.97 | 5.85 |
| Brazil | 0.39 | 5.27 |
| Canada | 0.85 | 4.33 |
| Denmark | 1.08 | 4.83 |
| France | 0.91 | 5.54 |
| Germany | 1.17 | 5.10 |
| India | 0.46 | 3.68 |
| Italy | 0.67 | 5.74 |
| Japan | 0.77 | 5.26 |
| Netherlands | 1.11 | 5.27 |
| Spain | 0.74 | 4.98 |
| Sweden | 1.01 | 4.88 |
| Switzerland | 1.55 | 5.14 |
| UK | 1.23 | 4.95 |
| U.S. | 1.31 | 4.64 |

* Cumulated as of February 2010.

The main language of the survey is English. However, the questionnaire and the invitation emails were available in six other languages: French, German, Italian, Japanese, Portuguese and Spanish. The questionnaire and the survey were also available in Chinese and Korean.

Each panel member was emailed at most three times during February-June 2011 and asked to complete the web-based questionnaire. The platform recorded partial answers, allowing respondents to follow-up in additional rounds.

5.2 Sample and response rates

Table 3 reports the number of answers received by country. Answers are further divided into complete answers and partial answers. The latter are answers from respondents who began the survey, but dropped-out before reaching the last question. The total dropout rate is 5 percent. The response rate is 40.6 percent if both complete and partial answers are counted; 35.6 percent if only complete answers are counted. Reported response rates do not take into account undelivered invitations due to such things as incorrect email address, retirement or death and consequently underestimate the response rate.

Table 3 Response rates by country

| Country | Panels | Total Answers | Of which complete | Of which dropout | Total Response Rate | Complete Response Rate |
|--------------|---------------|---------------|-------------------|------------------|---------------------|------------------------|
| Australia | 1,571 | 676 | 610 | 66 | 43.0% | 38.8% |
| Belgium | 706 | 302 | 244 | 58 | 42.8% | 34.6% |
| Brazil | 1,537 | 762 | 692 | 70 | 49.6% | 45.0% |
| Canada | 2,455 | 1,020 | 897 | 123 | 41.5% | 36.5% |
| Denmark | 513 | 227 | 208 | 19 | 44.2% | 40.5% |
| France | 3,839 | 1,618 | 1,367 | 251 | 42.1% | 35.6% |
| Germany | 4,380 | 1,326 | 1,147 | 179 | 30.3% | 26.2% |
| India | 1,380 | 627 | 484 | 143 | 45.4% | 35.1% |
| Italy | 2,779 | 1,917 | 1,759 | 158 | 69.0% | 63.3% |
| Japan | 5,250 | 1,860 | 1,678 | 182 | 35.4% | 32.0% |
| Netherlands | 1,036 | 391 | 345 | 46 | 37.7% | 33.3% |
| Spain | 2,303 | 1,228 | 1,080 | 148 | 53.3% | 46.9% |
| Sweden | 882 | 353 | 301 | 52 | 40.0% | 34.1% |
| Switzerland | 919 | 356 | 320 | 36 | 38.7% | 34.8% |
| UK | 3,695 | 1,355 | 1,183 | 172 | 36.7% | 32.0% |
| U.S. | 14,059 | 5,165 | 4,512 | 653 | 36.7% | 32.1% |
| Total | 47,304 | 19,183 | 16,827 | 2,356 | 40.6% | 35.6% |

The first panel of the table in the text is based on the 17,182 responses from scientists studying or working in one of the sixteen core countries in 2011 for whom country of residence at 18 is also know. The second panel of the table in the text is based on the subset of 15,115 scientists who lived in a core country at age 18 and provided full and consistent information on international experience(s).

Response rates by scientific field are reported in Table 4. Participation was highest for scientists in earth and environmental sciences and lowest for scientists in biology. Differences in country and discipline participation likely reflect in part the degree to which similar populations of scientists have been surveyed in the recent past by other, unrelated studies.

Table 4 Response rates by scientific field

| Discipline | Panels | Total Answers | Of which complete | Of which dropouts | Total Response Rate | Complete Response Rate |
|--------------------------------|--------|---------------|-------------------|-------------------|---------------------|------------------------|
| Biology | 15,290 | 5,810 | 5,097 | 713 | 38.0% | 33.3% |
| Chemistry | 15,549 | 6,324 | 5,524 | 800 | 40.7% | 35.5% |
| Earth & Environment | 8,616 | 3,956 | 3,532 | 424 | 45.9% | 41.0% |
| Materials Science | 7,849 | 3,093 | 2,674 | 419 | 39.4% | 34.1% |
| Total | 47,304 | 19,183 | 16,827 | 2,356 | 40.6% | 35.6% |

6 Acknowledgements

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All errors are those of the authors.

7 ANNEX 1 The Questionnaire

The questionnaire reported below contains the codes for the questions and related answers that are present in the CSV files.

SECTION 1: Reference article data (from external sources, not provided by the respondents)

NOTE: Due to issues of confidentiality the title of the article and the related journal name cannot be disclosed.

The respondents are shown the details of the reference article that is the focus of the following questions. The respondents are invited to confirm the information about the article in particular:

Title
Journal
Year of publication

SECTION 2: QUESTIONS ON FOCAL PAPER

q2_1 This particular paper of yours is:
(Please provide a value between 1.0 and 5.0, where 1.0="Strongly disagree" and 5.0="Strongly agree")

- q21r1** in a main area of research interest for me
- q21r2** in a new area of research interest for me
- q21r3** of a higher quality, with respect to my other papers

q2_2 With regard to the area of research, this paper is:
(Please provide a value between 1.0 and 5.0, where 1.0="Strongly disagree" and 5.0="Strongly agree")

- q22r1** in an emerging (new) area of research
- q22r2** in an interdisciplinary area of research
- q22r3** in a highly creative area of research
- q22r4** in a high-risk (of failure), high-reward (if successful) area of research

q2_3 With regard to the subject of the paper, tell us the number of competing research teams

- | | None | 1 | 2-3 | 4-5 | 6-10 | more than 10 | N.A. |
|--|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| q23r1 worldwide | <input type="radio"/> |
| q23r2 in your country of main affiliation | <input type="radio"/> |
| q23r3 in the country of the research leader's affiliation (if different from yours) | <input type="radio"/> |

SECTION 3: QUESTIONS ON AFFILIATIONS & INTERNATIONAL MOBILITY STATUS

q3_2 What is your current job affiliation and position?

| | 1=university or medical school | 2=government research agency | 3=government, other | 4=company or for-profit organization | 5=non-profit organization, including charitable organization | 6=self-employed | 7=Other |
|-------------------------------|--------------------------------|------------------------------|-----------------------|--------------------------------------|--|-----------------------|-----------------------|
| q32r1 Affiliation type | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| q32r2 position | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

q33c1r1 In what country is your current job located?

q33c1r1 Select from list of country names

q34 Do you have secondary affiliations?

q34 1=No 2=Yes

q36r1 In what country were you living when you were 18 years old?

q36r1 Select from list of country names

q3_7 Relative to the country where you lived when you were 18 years old, did you..

| | 1=Yes | 2=No |
|--|-----------------------|-----------------------|
| q37rbachelor get your baccalaureate, master or laurea in a different country | <input type="radio"/> | <input type="radio"/> |
| q37rjob have an employment or academic position in a different country (minimum 1 year) | <input type="radio"/> | <input type="radio"/> |
| q37rphd get your PhD in a different country | <input type="radio"/> | <input type="radio"/> |
| q37rpostdoc have a post-doc in a different country (minimum 1 year) | <input type="radio"/> | <input type="radio"/> |
| q37rvisiting have been visiting scholar, for reasons of work (minimum 6 months) | <input type="radio"/> | <input type="radio"/> |

SECTION 4: QUESTIONS ON INTERNATIONAL BACKGROUND

q41r1 Tell us the country (different from the one where you lived when you were 18) where you got your baccalaureate or master

Conditional branching:
q37rbachelor=1 AND q37rphd=2

q41r1 Select from list of country names

q42r1 Tell us the country (different from the one where you lived when you were 18) where you got your Phd

Conditional branching:
q37rbachelor=2 AND q37rphd=1

q42r1 Select from list of country names

q4_3 Tell us the country (different from the one where you lived when you were 18) where you got your (question for people getting both of them abroad):

Conditional branching:
q37rbachelor=1 AND q37rphd=1

q43r1 baccalaureate or master Select from list of country names

q43r2 PhD Select from list of country names

q4_4 How important was each of the following factors in your decision to study in a country different from the one where you lived when you were 18.
 (Please provide a value between 1.0 and 5.0, where 1.0="Totally unimportant" and 5.0="Extremely important")

Conditional branching:
 q37rbachelor=1 OR q37rphd=1

- q44rprestige** prestige/ research excellence of the institution [__]
- q44rhostfellow** fellowship that I obtained from the host country / institution [__]
- q44rhomefellow** fellowship that I obtained from the country where I lived when I was 18 [__]
- q44rexchange** availability of an exchange or joint programs between institutions [__]
- q44rcareer** opportunity to improve my future career prospects [__]
- q44rhomecareer** opportunity to improve my future career prospects in the country where I lived when I was 18 [__]
- q44rcontact** contact with somebody (a professor, colleague, friend..) in the host country [__]
- q44rnophd** few if any good PhD programs in the country where I lived when I was 18 [__]
- q44rlifestyle** appeal of the life style or international experience [__]
- q44rfamily** family or personal reasons [__]
- q44rother** other [__]

q4_5 Tell us the country (different from the one where you lived when you were 18) where you had a post-doc, employment, or academic position

Conditional branching:
 q37rpostdoc=1 OR q37rjob=1

- q45r1** First country Select from list of country names
- q45r2** Second country Select from list of country names
- q45r3** Third country Select from list of country names
- q45r4** Fourth country Select from list of country names
- q45r5** Fifth country Select from list of country names

q4_6

How important was each of the following factors behind your choice to take a post-doc, employment or academic job in a country different from the one where you lived when you were 18:
(Please provide a value between 1.0 and 5.0, where 1.0="Totally unimportant" and 5.0="Extremely important")

Conditional branching:
q37rpostdoc=1 OR q37rjob=1

- | | | |
|---------------|---|------|
| q46r1 | excellence/ prestige of the foreign institution in my area of research | [__] |
| q46r2 | outstanding faculty, colleagues or research team | [__] |
| q46r3 | better research infrastructures and facilities | [__] |
| q46r4 | greater availability of research funds | [__] |
| q46r5 | better wage / monetary compensation | [__] |
| q46r6 | better fringe benefits (parental leaves, pension, insurance, ..) | [__] |
| q46r7 | better working conditions (vacations, hours of work, ..) | [__] |
| q46r8 | few or poor job opportunities in the country where I lived when I was 18 | [__] |
| q46r9 | opportunity to extend my network of international relationships | [__] |
| q46r10 | opportunity to improve my future career prospects | [__] |
| q46r11 | opportunity to improve my future job prospects in the country where I lived when I was 18 | [__] |
| q46r12 | better quality of life | [__] |
| q46r13 | appeal of the life style or international experience | [__] |
| q46r14 | family or personal reasons | [__] |
| q46r15 | other | [__] |

q4_7

Tell us the country (different from the one where you lived when you were 18) where you have been a visiting scholar

Conditional branching:
q37rvisiting=1

- | | | |
|--------------|----------------|-----------------------------------|
| q47r1 | First country | Select from list of country names |
| q47r2 | Second country | Select from list of country names |
| q47r3 | Third country | Select from list of country names |
| q47r4 | Fourth country | Select from list of country names |
| q47r5 | Fifth country | Select from list of country names |

q4_8

How important was each of the following factors behind your decision to take a visiting period in a country different from the one where you lived when you were 18:
(Please provide a value between 1.0 and 5.0, where 1.0="Totally unimportant" and 5.0="Extremely important")

Conditional branching:
q37rvisiting=1

| | | |
|---------------------------|---|------|
| q48rexcellence | excellence/ prestige of the foreign institution in my area of research | [__] |
| q48rfaculty | outstanding faculty, colleagues or research team | [__] |
| q48rinfrastructure | better research infrastructures and facilities | [__] |
| q48rgrants | greater availability of research funds | [__] |
| q48rwage | better wage/ monetary compensation | [__] |
| q48rfringe | better fringe benefits (parental leaves, pension, insurance, ..) | [__] |
| q48rworkcondition | better working conditions (vacations, hours of work, ..) | [__] |
| q48rnojob | few or poor job opportunities in the country where I lived when I was 18 | [__] |
| q48rnetwork | opportunity to extend my network of international relationships | [__] |
| q48rcollaborate | opportunity to work with a specific group of scholars or colleagues | [__] |
| q48rcareer | opportunity to improve my future career prospects | [__] |
| q48rhomecareer | opportunity to improve my future job prospects in the country where I lived when I was 18 | [__] |
| q48rlifequality | quality of life | [__] |
| q48rlifestyle | appeal of the life style or international experience | [__] |
| q48rfamily | family or personal reasons | [__] |
| q48rother | other | [__] |

q4_9 Rate the perceived impact of your international experience (PhD, post-doc, employment, visiting) on the following outcomes (Please provide a value between 1.0 and 5.0, where 1.0="Totally unimportant" and 5.0="Extremely important")

Conditional branching:
q37rbachelor=1 OR q37rjob=1 OR q37rphd=1 OR q37rpostdoc=1 OR q37rvisiting=1

- q49rnetwork** enlarging my research network [__]
- q49rcooperate** establishing a stable research cooperation with teams/scholars located abroad [__]
- q49rnewfield** entering into new fields of research [__]
- q49rlearning** learning new techniques/ theories [__]
- q49rpublish** improving my capability to publish in high-tiers journals [__]
- q49rwage** improving my wage and earning possibilities [__]
- q49rcareer** improving my career prospects [__]
- q49rindustry** establishing better contacts with industrial partners [__]
- q49rgrant** improving my ability to raise research funds [__]
- q49rother** other [__]

q410 Have you ever returned to work and live in the country were you lived when you were 18 years old?

Conditional branching:
q37rbachelor=1 OR q37rjob=1 OR q37rphd=1 OR q37rpostdoc=1 OR q37rvisiting=1

- | | | | | |
|--------------------|-----------------------|---|------------------------------------|---|
| | 1=no | 2=yes, permanently (I'm currently living there) | 3=yes, once but later I moved away | 4=yes, I have moved back and forth more than once |
| q410 Answer | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

q411 If yes (to Q4.10) when?

Conditional branching:
q410 NOT =1

- | | | | | | | | | | | | |
|--------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|---------------------------|
| | 1=2010 | 2=2009 | 3=2008 | 4=2007 | 5=2006 | 6=2005 | 7=2004 | 8=2003 | 9=2002 | 10=2001 | 11=more than 10 yesra ago |
| q411 Answer | <input type="radio"/> |

| q412 | | For how long have you stayed? | | | | | | | | Conditional branching: q410=3 | | |
|-------------|--------|--------------------------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|--|--|--|
| | | less than 1 year | 1-2 year | 3-4 years | 5-6 years | 7-8 years | 9-10 years | 11-15 years | 16-20 years | more than 20 years | | |
| q412 | Answer | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | |

| q4_13 | | You stated that you have returned to work and live in the country where you lived when you were 18 several times. Please tell us in which year and for how many years (for up to four relocations). | | | | | | | | | | | Conditional branching: q410=4 | |
|---------------|----------------------------|--|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|------------------------|--|--|
| | | 2010 | 2009 | 2008 | 2007 | 2006 | 2005 | 2004 | 2003 | 2002 | 2001 | more than 10 years ago | | |
| q413r1 | First relocation year | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | |
| q413r3 | Second relocation year | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | |
| q413r5 | Third relocation year | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | |
| q413r7 | Fourth relocation year | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | |
| | | less than 1 year | 1-2 years | 3-4 years | 5-6 years | 7-8 years | 9-10 years | 11-12 years | 13-14 years | 15-16 years | 17-18 years | 19 years or more | | |
| q413r2 | First relocation duration | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | |
| q413r4 | Second relocation duration | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | |
| q413r6 | Third relocation duration | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | |
| q413r8 | Fourth relocation duration | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | |

q4_14

How important was each of the following reasons behind your decision to return to the country where you lived when you were 18:
(Please provide a value between 1.0 and 5.0, where 1.0="Totally unimportant" and 5.0="Extremely important")

Conditional branching:
q410 NOT =1

- q414rprestige** excellence/ prestige of the institution in my area of research
- q414rfaculty** outstanding faculty, colleagues or research team
- q414rinfrastructure** better research infrastructures and facilities
- q414rgrant** greater availability of research funds
- q414rwage** better wage / monetary compensation
- q414rfringe** better fringe benefits (parental leaves, pension, insurance, ..)
- q414rworkcondition** better working conditions (vacations, hours of work, ..)
- q414rcollaborate** opportunity to work with a specific group of scholars or colleagues
- q414rcareer** better job opportunity or career prospects
- q414rlifequality** better quality of life
- q414rfamily** personal or family reasons
- q414rvisa** visa or immigration reasons
- q414rother** other

q415

Is it possible that you will return in the future in the country were you lived when you were 18?

Conditional branching:
q410 NOT =2 AND q410 NOT =4

- | | | | | | | | |
|--------------------|-----------------------|-----------------------|--|--|----------------------------|-----------------------|---------------------------------------|
| | 1=yes | 2=no | 3=perhaps towards the end of my career | 4=perhaps as a part-time or secondary affiliation | 5=only after retirement | 6=other | 7=depends on the job opportunities |
| q415 Answer | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

q416r0 How likely is it that you will return in the future?
 (Please provide a value between 1.0 and 5.0, where 1.0="Virtually no probability" and 5.0="Extremely likely")

Conditional branching:
 q410 NOT =2 AND q410 NOT =4

q416r0 Answer

q417 In the last 2 years, did you collaborate with scholars or teams in countries different from the one where you are currently based? If so, in how many countries?

- | | | | | | | | | |
|--------------------|---------------------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|----------------------------|
| | No international collaborations | 1 other country | 2 | 3 | 4 | 5 | 6 to 10 | 11 or more other countries |
| q417 Answer | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

q4_18 In your current research, do you collaborate with researchers from the country where you lived when you were 18? (flag one or more options, as appropriate)

Conditional branching:
 q410 NOT =2 AND q410 NOT =4

- q418r1** no
- q418r2** yes, in my place of employment I work with at least one person who is from the country where I lived when I was 18
- q418r3** yes, I collaborate with colleagues from the country where I lived when I was 18 who are currently based in other countries
- q418r4** yes, I collaborate with researchers who are based in the country where I lived when I was 18
- q418r5** other

q419r1 Rate the relevance of the findings and potential applications of your current research for the country where you lived when you were 18. E.g. "extremely relevant": malaria research for a country with a high incidence of malaria cases
 (Please provide a value between 1.0 and 5.0, where 1.0="Almost no relevance", 3.0="Equally relevant to all countries" and 5.0="Extremely relevant")

q419r1 Answer

q420r1 Rate the relevance of the findings and potential applications of your current research for the country where you are currently based. E.g. "extremely relevant": malaria research for a country with a high incidence of malaria cases (Please provide a value between 1.0 and 5.0, where 1.0="Almost no relevance", 3.0="Equally relevant to all countries" and 5.0="Extremely relevant")

q420r1 Answer

[]

SECTION 5: QUESTIONS ON COLLABORATIONS / COAUTHORSHIPS

| | | |
|-------------------------|--|--|
| q5_7 | You are the sole author of this paper. Indicate your level of agreement to each of the following statements. (Please provide a value between 1.0 and 5.0, where 1.0="Strongly disagree" and 5.0="Strongly agree") | Conditional branching: n_author=1 |
| q57r1 | In general, I prefer working alone or prefer the work to be organized only within my lab or group of close colleagues | [__] |
| q57r2 | In general, working alone or only with people in the same lab is common in my area | [__] |
| q57r3 | In general, collaboration across labs is required in my area of research | [__] |
| q57r4 | I collaborate with other people / labs whenever it is needed or preferable. I work alone or within my team otherwise | [__] |
| | | |
| q5_8 | State your level of agreement to the following advantages of working solo? (Please provide a value between 1.0 and 5.0, where 1.0="Strongly disagree" and 5.0="Strongly agree") | Conditional branching: n_author=1 |
| q58rradical | Doing more radical research | [__] |
| q58rrisk | Doing higher-risk research | [__] |
| q58rexploratory | Doing exploratory / preliminary research | [__] |
| q58rcreative | Doing more creative research | [__] |
| q58rflexible | Being more flexible and able to make changes during the course of the research | [__] |
| q58rtimewaste | Not losing time coordinating with other people | [__] |
| q58rreputation | Enjoying all the reputation from the paper | [__] |
| q58rcontribution | Highlighting unequivocally my contribution | [__] |
| q58rflextiming | Having more flexibility regarding when research is done | [__] |
| q58rother | Other | [__] |

| q5_9 | With regard to your coauthors, this paper involved cooperation with people: | Conditional branching: n_author>1 |
|--------------|---|--------------------------------------|
| q59r1 | in your same lab (or department, or unit) | <input type="checkbox"/> |
| q59r2 | in your same institution (but in a different lab/ department/ unit) | <input type="checkbox"/> |
| q59r3 | in other institutions, but in your same country of affiliation | <input type="checkbox"/> |
| q59r4 | in other institutions and different countries of affiliation | <input type="checkbox"/> |

| q5_11 | You stated that this work of yours was carried on within your lab or your group of close colleagues and did not involve external collaboration. Indicate your level of agreement to the following statements. (Please provide a value between 1.0 and 5.0, where 1.0="Strongly disagree" and 5.0="Strongly agree") | Conditional branching: q59r1=1 AND q59r2=2 AND q59r3=2 AND q59r4=2 |
|---------------|--|---|
| q511r1 | In general, I prefer when the work is organized only within my lab or within my group of close colleagues | [__] |
| q511r2 | In general, working with a team or lab is common in my area of research | [__] |
| q511r3 | In general, collaboration across teams/ labs is required in my area of research | [__] |
| q511r4 | We collaborate with other teams or people whenever it is needed or preferable. We work within our team/ lab otherwise | [__] |

q5_12

State your level of agreement to the following advantages of working with no external collaborators
(Please provide a value between 1.0 and 5.0, where 1.0="Strongly disagree" and 5.0="Strongly agree")

Conditional branching:
q59r1=1 AND q59r2=2 AND q59r3=2 AND q59r4=2

- | | | |
|--------------------------|--|----------------------|
| q512rradical | Doing more radical research | <input type="text"/> |
| q512rrisk | Doing higher-risk research | <input type="text"/> |
| q512rexploratory | Doing exploratory/ preliminary research | <input type="text"/> |
| q512rcreative | Doing more creative research | <input type="text"/> |
| q512rflexible | Being more flexible and able to make changes during the course of the research | <input type="text"/> |
| q512rtimewaste | Not loosing time coordinating with other people | <input type="text"/> |
| q512rreputation | Enjoying all the reputation from the paper | <input type="text"/> |
| q512rcontribution | Highlighting unequivocally my group's contribution | <input type="text"/> |
| q512rflextiming | Having more flexibility regarding when research is done | <input type="text"/> |
| q512rother | Other | <input type="text"/> |

q5_13 Describe the team member's affiliation and country, if foreign. (Papers with 2 or more authors)

**Conditional branching:
n_author>1**

| | this is me | works in my same lab/department | works in my same institution, but different lab/department | works in a different institution in the same country of affiliation | works in a different institution and country |
|--|-----------------------------------|---------------------------------|--|---|--|
| q513c1r1m16 First Author - identification (at time the paper was written) | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| q513c1r2m16 Second Author - identification | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| q513c1r3m16 Third Author - identification | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| q513c1r4m16 Fourth Author - identification | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| q513c1r5m16 Fifth Author - identification | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| q513c2r1m16 First Author - Country (if foreign) | Select from list of country names | | | | |
| q513c2r2m16 Second Author - Country (if foreign) | Select from list of country names | | | | |
| q513c2r3m16 Third Author - Country (if foreign) | Select from list of country names | | | | |
| q513c2r4m16 Fourth Author - Country (if foreign) | Select from list of country names | | | | |
| q513c2r5m16 Fifth Author - Country (if foreign) | Select from list of country names | | | | |

q5_17 Describe the team member's position (at the time the paper was written). (Papers with 2 or more authors)

**Conditional branching:
n_author>1**

| | 1=professor (any level) | 2=researcher, staff scientist or fellow | 3=post-doc | 4=PhD student | 5=master or undergraduate student | 6=research fellow | 7=technician | 8=other | 9=don't know |
|----------------------------------|-------------------------|---|-----------------------|-----------------------|-----------------------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| q517c1r1m23 First author | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| q517c1r2m23 Second author | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| q517c1r3m23 Third author | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| q517c1r4m23 Fourth author | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| q517c1r5m23 Fifth author | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

q5_18

Choose the statement that best describes the person's main role in the paper.(papers with 2 or more authors)

Options:

1=formulating the initial idea

2=contributing to the theory and background

3=framing and writing the article

4=doing the heavy lifting (having primary responsibility for conducting the research)

5=doing the data analysis

6=supervising the work of others

7=providing the funding

8=providing access to an instrument, facility or infrastructure

9=providing a methodological tool, component or solution

10=providing data already in the coauthor's possession

11=providing original material already in coauthor's possession

12=providing access to a network

13=other

14=not applicable/ don't know

Conditional branching:
n_author>1

| | | 1= initial idea | 2= theory and backgr. | 3= writing | 4= heavy lifting | 5= data analysis | 6= superv. | 7= funding | 8= access to instrum. | 9= methodo logical tool | 10= data already in poss. | 11= original material already in poss. | 12= access to a network | 13= oth. | 14= n,a. |
|------------------|---------------|-----------------------|--------------------------------|-----------------------|------------------------|-----------------------|-----------------------|-----------------------|--------------------------------|----------------------------------|------------------------------------|--|----------------------------------|-----------------------|-----------------------|
| q518r1m24 | First author | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| q518r2m24 | Second author | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| q518r3m24 | Third author | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| q518r4m24 | Fourth author | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| q518r5m24 | Fifth author | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

q5_25 You stated that this work of yours involved external cooperation. Indicate your level of agreement to the following statements. *
 External means not in your same lab/department
 (Please provide a value between 1.0 and 5.0, where 1.0="Strongly disagree" and 5.0="Strongly agree")

Conditional branching:
 q59r2=1 OR q59r3=1 OR q59r4=1

- q525rmylab** In general, I prefer when the work is organized only within my lab or within my group of close colleagues
- q525rteamcommon** In general, working with a team or lab is common in my area of research
- q525rteamrequired** In general, collaboration across teams/ labs is required in my area of research
- q525rwhenneeded** We collaborate with other teams or people whenever it is needed or preferable. We work within our team/ lab otherwise

q5_26 With regard to the coauthors not at your institution, please indicate the main channels of initial contact between yourself (or your group) and them. (flag one or more, as appropriate)

Conditional branching:
 q59r3=1 AND q59r4=1

- q526rsupervisor** I was a former supervisor/ advisor
- q526rstudent** I was a former student or former post-doc
- q526rcolleague** I was a former colleague
- q526rfellow** We were fellow students in the same program (PhD, Master, ..)
- q526rintroduced** I was introduced by a colleague who was a former supervisor, advisor, student, fellow student or colleague
- q526rgroup** We were introduced by other members of the group
- q526rnointermediary** We got in contact because of common research interests and with no intermediary
- q526rmeeting** We met at a conference or a scholarly meeting
- q526rfunding** We were part of a common funding initiative or research project
- q526rgrant** We got in contact because we both (or our respective groups) were applying for the same cooperative grant
- q526rfamily** We knew each other for personal or family reasons
- q526rnevermet** We never met
- q526rother** Other

q5_27

With regard to your cooperations, state your level of agreement to the following advantages of collaborating.
(Please provide a value between 1.0 and 5.0, where 1.0="Strongly disagree" and 5.0="Strongly agree")

Conditional branching:
q59r2=1 AND q59r3=1 AND q59r4=1

- | | | |
|-------------------------|--|------|
| q527rinstrument | gaining access to a critical instrument, facility or infrastructure | [__] |
| q527rcapability | complementing expertise and capabilities | [__] |
| q527rworkload | sharing the workload in complex projects | [__] |
| q527rcolleague | learning from colleagues | [__] |
| q527rfunding | gaining access to funding | [__] |
| q527rpublish | publishing in highly-ranked scientific journals | [__] |
| q527rdata | gaining access to data, material or components | [__] |
| q527rradical | doing more radical research | [__] |
| q527rrisk | doing higher-risk research | [__] |
| q527rexploratory | doing exploratory/ preliminary research | [__] |
| q527rcreative | doing more creative research | [__] |
| q527rIP | gaining access to data, materials or components protected by intellectual property | [__] |
| q527rothere | other | [__] |

SECTION 6: QUESTIONS ON DEMOGRAPHICS

q6_1 What is your year and country of birth?

- q61c1r1** year of birth Select year
q61c2r1 country of birth Select from list of country names

q62 What is your gender?

- q62** 1=male 2=woman

q6_3 In which years and country were you educated? *Leave blank if not achieved or indicate "under completion" if still in progress

- q63c1r1e** PhD, Doctorate or equivalent (year) Select year
q63c2r1 PhD, Doctorate or equivalent (country) Select from list of country names
q63c1r2e Master or equivalent (year) Select year
q63c2r2 Master or equivalent (country) Select from list of country names
q63c1r3e Laurea or equivalent (year) Select year
q63c2r3 Laurea or equivalent (country) Select from list of country names
q63c1r4e Bachelor, Undergraduate or equivalent (year) Select year
q63c2r4 Bachelor, Undergraduate or equivalent (country) Select from list of country names

q64 Have your research interests changed significantly since you were a student?

- q64** 1=yes 2=no

q65 Are you devoting more time to research than you thought you would when you were studying for your advanced degree?

- q65** 1=yes 2=no